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PTO/SB/21 (03-03)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/828,488
	Filing Date	April 20, 2004
	First Named Inventor	Lorenz, et al
	Art Unit	Unknown
	Examiner Name	Unknown
Total Number of Pages in This Submission	Attorney Docket Number	PC 25825A

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to a Technology Center (TC)
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual	Francis J. Tinney
Signature	<i>Francis J. Tinney</i>
Date	JUN 11, 2004

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date <u>6-11-2004</u>		
Typed or printed	Nancy Dernbach	
Signature	<i>Nancy Dernbach</i>	Date <u>6-11-2004</u>

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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USPTO Fax No. 703-872-9306



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/828,488
Applicant: Douglas Lorenz, et al
Filed: April 20, 2004
TC/A.U.: Unknown
Examiner: (C) Unknown
Docket No.: PC25825A
Customer No.: 28880
Title: PROCESS FOR FORMING AMORPHOUS ATORVASTATIN

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97)

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Forms PTO/SB/08A and PTO/SB/08B are brought to the attention of the Examiner.

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 C.F.R. § 1.53(d); or before the mailing of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each document or other information listed on the enclosed Forms PTO/SB/08A and PTO/SB/08B is provided, except for United States Patents and United States Patent Application Publications.

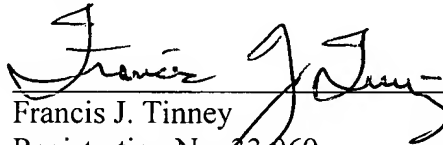
No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. § 102 and 103, and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art".

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Forms PTO/SB/08A and PTO/SB/08B, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

It is understood by the Applicants that this paper requires no fee, however, authorization is given to charge any necessary filing fees and any additional fees or credit any overpayment to Deposit Account 23-0455.

Dated: 6-11-2004

Respectfully submitted,


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PTO/SB/08A (08-03)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/828,488
Filing Date	April 20, 2004
First Named Inventor	Lorenz, et al
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	PC25825A

Sheet 1 of 4

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 4,681,893	07/21/1987	Roth	
		US- 5,273,995	12/28/1993	Roth	
		US- 5,003,080	03/26/1991	Butler, et al	
		US- 5,097,045	03/17/1992	Butler, et al	
		US- 5,103,024	04/07/1992	Millar, et al	
		US- 5,124,482	06/23/1992	Butler, et al	
		US- 5,149,837	09/22/1992	Butler, et al	
		US- 5,155,251	10/13/1992	Butler, et al	
		US- 5,216,174	06/01/1993	Butler, et al	
		US- 5,245,047	09/14/1993	Butler, et al	
		US- 5,248,793	09/28/1993	Millar, et al	
		US- 5,280,126	01/18/1994	Butler, et al	
		US- 5,397,792	03/14/1995	Butler, et al	
		US- 5,342,952	08/30/1994	Butler, et al	
		US- 5,298,627	03/29/1994	Butler, et al	
		US- 5,446,054	08/29/1995	Butler, et al	
		US- 5,470,981	11/28/1995	Butler, et al	
		US- 5,489,690	02/06/1996	Butler, et al	
		US- 5,489,691	02/06/1996	Butler, et al	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)				
		WO 01/36384 A1	05/25/2001	Ayalon, et al		
		WO 02/057274 A1	07/25/2002	Srinath, et al		
		WO 02/41834 A2	05/30/2002	Teve Pharmaceuticals		
		WO 02/43667 A2	06/06/2002	Lidor-Hadas, et al		
		WO 02/43732 A1	06/06/2002	Aronhime, et al		
		WO 02/051804 A1	07/04/2002	Van Der Schaaf, et al		

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Complete if Known

Application Number	10/828,488
Filing Date	April 20, 2004
First Named Inventor	Lorenz, et al
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	PC25825A

Sheet **2** of **4****U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5,510,488	04/23/1996	Butler, et al	
		US- 5,686,104	11/11/1997	Mills, et al	
		US- 5,969,156	10/19/1999	Briggs, et al	
		US- 5,998,633	12/07/1999	Jacks, et al	
		US- 6,087,511	07/11/2000	Lin, et al	
		US- 6,121,461	09/19/2000	McKenzie	
		US- 6,126,971	10/03/2000	Mills, et al	
		US- 6,433,213 B1	08/13/2002	Bosch, et al	
		US- 6,476,235 B2	11/05/2002	Butler, et al	
		US- 6,531,507 B1	03/11/2003	Pflaum, et al	
		US- 6,605,729 B1	08/12/2003	Byrn, et al	
		US- 2003/0109584 A1	06/12/2003	Pflaum, et al	
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FOREIGN PATENT DOCUMENTS

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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		WO 02/057228 A1	07/25/2002	Joy, et al		
		WO 02/057229 A1	07/25/2002	Mathew, et al		
		WO 02/059087 A1	08/01/2002	Sorsak		
		WO 02/083637 A1	10/24/2002	Agarwal, et al		
		WO 02/083638 A1	10/24/2002	Agarwal, et al		
		WO 03/011826 A1	02/13/2003	Reddy, et al		

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Sheet 4

of

4

Complete if Known

Application Number 10/828,488

Filing Date April 20, 2004

First Named Inventor Lorenz, et al

Art Unit Unknown

Examiner Name Unknown

Attorney Docket Number PC25825A

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Takemoto, M., et al, "Statins as antioxidant therapy for preventing cardiac myocyte hypertrophy", The Journal of Clinical Investigation, November 2001, Vol. 108, No. 10, pp 1429-1437	
		Konno, T., Chem. Pharm. Bull., 1990, Vol. 38, pp 2003-2007	

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